

1 **Effects of a Mitochondrial Targeted Ubiquinol (MitoQ) on Vascular Function and**
2 **Exercise Capacity in Chronic Kidney Disease: A Randomized Controlled Pilot Study.**

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19 **Keywords**

20 mitochondria; oxidative stress; vascular function; chronic kidney disease

21

22 **ABSTRACT**
23

24 **Background.** Mitochondrial derived oxidative stress is implicated in vascular and skeletal
25 muscle abnormalities in chronic kidney disease (CKD). The purpose of this study was to
26 investigate the effects of a mitochondrial targeted ubiquinol (MitoQ) on vascular function and
27 exercise capacity in CKD.

28 **Methods.** In this randomized controlled trial, 18 patients with CKD (Mean±SEM: Age,
29 62±3years; eGFR, 45±3ml/min/1.73²) received 4 weeks of 20mg/day MitoQ (MTQ) or placebo
30 (PLB). Outcomes assessed at baseline and follow up included macrovascular function
31 measured by flow mediated dilation; microvascular function assessed by laser Doppler
32 flowmetry combined with intradermal microdialysis; aortic hemodynamics assessed by
33 oscillometry; and exercise capacity by cardiopulmonary exercise testing.

34 **Results.** Compared to PLB, MTQ improved flow mediated dilation (baseline vs follow up:
35 MTQ, 2.4±0.3 vs. 4.0±0.9%; PLB, 4.2±1.0 vs. 2.5±1.0%; $p=0.04$). MTQ improved
36 microvascular function (Δ CVC: MTQ, 4.50 ± 2.57 vs. PLB -2.22 ± 2.67%; $p=0.053$). Central
37 aortic systolic and pulse pressures were unchanged, however, MTQ prevented increases in
38 augmentation pressures that were observed in the PLB group ($p=0.026$). MTQ did not affect
39 exercise capacity.

40 **Conclusion.** This study demonstrates potential for a mitochondrial targeted ubiquinol to
41 improve vascular function in CKD. The findings hold promise for future investigations of
42 mitochondrial targeted therapies in CKD.

43 **NEW AND NOTEWORTHY**

44 In this randomized controlled pilot study, we investigated the effects of a mitochondrial
45 targeted ubiquinol (MitoQ) on vascular function and exercise capacity in CKD. Our novel
46 findings show that a 4-week supplementation of MitoQ was well tolerated and improved
47 macrovascular endothelial function, arterial hemodynamics, and microvascular function in
48 patients with Stage 3-4 CKD. Our mechanistic findings also suggest that MitoQ improved
49 microvascular function in part by reducing the NADPH oxidase contribution to vascular
50 dysfunction.

51

52 **INTRODUCTION**

53 Chronic Kidney Disease (CKD), a progressive condition characterized by impairments in renal
54 structure and function, affects 14% of the US population (1). Cardiovascular disease (CVD)
55 that is not fully explained by traditional risk factors remains a major clinical complication of
56 CKD and is the leading cause of hospitalization and death in this patient population (1). The
57 etiology of CVD in this patient population is unique to the disease and our understanding of its
58 pathophysiology is still incomplete. Impaired endothelial function and microvascular dysfunction,
59 both of which are primary events in the development of atherosclerosis and well established
60 precursors of CVD, are hallmarks of CKD (2-7). CKD-related vascular endothelial dysfunction
61 is characterized by reductions in nitric oxide production and bioavailability that are largely
62 mediated by increased levels of oxidative stress in the earlier stages of the disease(5).
63 Endothelial dysfunction is the initial pathophysiological event in the development of
64 atherosclerosis and is a mediator in the pathogenesis of ischemic heart disease in CKD(8).
65 Furthermore, the kidney is a highly vascularized organ that receives at least 20% of resting
66 cardiac output and requires substantial oxygenation to meet its high energy demands.
67 Therefore, impairments in vascular endothelial function have been implicated in renal
68 dysfunction and progression of CKD(9). In addition, increased central blood pressure, arterial
69 stiffness and abnormal arterial hemodynamics are all evident in CKD and associated with the
70 development and progression of heart failure, one of the most prevalent CKD related CVDs
71 (10, 11). Superimposed on the vascular endothelial consequences of CKD is a reduced
72 cardiorespiratory fitness that is associated with exercise intolerance (12-14). Indeed, vascular
73 endothelial dysfunction may contribute to exercise intolerance by hampering blood flow delivery
74 to the working muscle (13, 15). Furthermore, endothelial dysfunction has also been correlated
75 with an exaggerated pressor response to exercise in patients with CKD which could further limit
76 functional capacity (16). The reduction in exercise capacity further exacerbates the already

77 high CVD risk (17) and is also an independent predictor of hospitalization and poor survival in
78 these patients (18). Thus, investigations into the biological mechanisms of CKD related
79 endothelial dysfunction and exercise intolerance are urgently needed.

80

81 Patients with CKD have impaired mitochondrial function that is both a cause and a
82 consequence of increased reactive oxygen species (ROS) that exceed the organelle's
83 antioxidant defenses (19-22). Increased mitochondrial derived oxidative stress due to
84 mitochondrial dysfunction could play a role in impairing both vascular function (22) and skeletal
85 muscle health (20, 23, 24), with downstream consequences for CVD and exercise intolerance
86 in CKD. Our group has previously implicated mitochondrial derived ROS (mtROS) in CKD
87 related vascular dysfunction by demonstrating that a local delivery of a mitochondrial targeted
88 antioxidant acutely restores microvascular function (22). However, the chronic effects of
89 reducing mtROS on vascular function and exercise intolerance warrant further exploration.
90 Therefore, the purpose of this pilot study was to investigate the effects of a chronic (4 weeks)
91 oral supplementation of a mitochondrial targeted ubiquinone, MitoQ, on vascular function and
92 exercise capacity in Stage 3-4 CKD before the development of overt CVD. Utilizing a parallel,
93 randomized controlled design, we tested the hypothesis that compared to a placebo, MitoQ
94 would significantly improve conduit artery and microvascular function and cardiorespiratory
95 fitness.

96

97 **METHODS**

98 **Participants**

99 Ethical approval was provided by the University of Delaware Institutional Review Board and
100 the study met the guidelines set forth by the Declaration of Helsinki. All participants provided
101 written informed consent. Patients were recruited during routine outpatient Nephrology clinics
102 between 2017 and 2019. Participant eligibility was confirmed during a screening visit that
103 included a medical history, a physical examination and routine clinical blood work and
104 urinalysis. Participants were included if they had a medical diagnosis of stage 3-4 non-dialysis
105 CKD and were >18 years of age. Kidney function was calculated using the Modification of Diet
106 in Renal Disease [MDRD] equation). Participants were excluded if they had a history of CVD
107 (defined as coronary artery disease; myocardial infarction; heart failure; peripheral artery
108 disease; or a cerebrovascular accident/transient ischemic attack); uncontrolled hypertension or
109 hyperlipidemia; a current pregnancy; were receiving hormone replacement therapy; currently
110 used tobacco products; presented with liver or autoimmune disease; currently used
111 antioxidants >300mg/day; and were unable to or refused consent. Participants that used
112 <300mg of antioxidants per day were required to undergo a 2 week wash out period before
113 starting the trial and were asked to withhold antioxidant use throughout the duration of the trial.
114 The exclusion of participants with a history of CVD allowed us to investigate the role of mtROS
115 on vascular function and exercise capacity prior to the development of overt CVD.

116

117 **Study Design and Intervention**

118 This double-blind pilot study (NCT02364648) utilized a randomized controlled parallel design.
119 Participants were randomly allocated in 1:1 manner according to a computer-generated
120 permuted block sequence (randomization.com), stratified by sex, to receive 28 days of MitoQ
121 (MTQ) or Placebo (PLB) capsules. Preparation, implementation and allocation of the
122 randomization strategy was performed by an independent investigator blinded to order of

123 enrollment (BM). Participants were blinded to group allocation. MitoQ and placebo capsules
124 were prepared and provided by MitoQ (MitoQ Limited). Participants allocated to the MTQ
125 group received a 20mg/day dose of MitoQ. The dose was determined based on manufacturer
126 recommendations and supported by studies that have demonstrated physiological efficacy(25).
127 PLB received identical placebo capsules. A duration of 4 weeks was chosen as circulating
128 plasma levels of mitoquinone peak after ~15 days of MitoQ administration and plateau
129 thereafter (26). We therefore estimated that a 4week intervention would allow sufficient time for
130 circulating MitoQ levels to peak and plateau and for subsequent physiological vascular
131 adaptations to occur. Participants were instructed to take daily capsules orally before breakfast
132 each morning. If participants forgot to take the capsule at breakfast, they could take it any time
133 later in the day if they remembered. However, if a full day or more was missed, participants
134 were instructed not to 'double dose' on subsequent days. Any missed capsules were returned
135 at the 4 week follow up testing visit to document compliance.

136

137 **Outcome Measures**

138 Outcome measures were assessed at baseline and at 4 weeks follow up during two separate
139 study visits: a vascular and an exercise testing visit. The outcome measure assessors were
140 blinded to group allocation. Within-subject visits occurred at the same time of day for each
141 participant. Participants were instructed to avoid exercise and alcohol 24 hours before each
142 visit and caffeine at least 12 hours before each visit. Participants were asked to fast >6hours
143 and to refrain from taking morning medications before the vascular testing visit. Participants
144 rested in the supine position in a quiet, temperature-controlled laboratory for at least 30
145 minutes before any vascular assessments were made.

146

147 ***Conduit Artery Endothelial Function***

148 Brachial artery flow mediated dilation (FMD) was performed according to established
149 guidelines as an *in vivo* measure of nitric oxide dependent conduit artery endothelial
150 function(27). Longitudinal B-Mode images of the brachial artery and simultaneous Doppler
151 measures of blood velocity were obtained with duplex ultrasound (uSmart 3300, Terason, MA).
152 FMD was reported as the percent change from baseline arterial diameter that was induced by
153 reactive hyperemia following 5 minutes of forearm occlusion (E20 Rapid Cuff Inflation System,
154 Hokanson, WA) at 200mmHg (or at least 50mmHg above resting systolic blood pressure [SBP]
155 if SBP was >150mmHg). Shear stimulus is reported as the area under the curve of the shear
156 rate (s^{-1}) profile from release of forearm occlusion to peak diameter. Images were analyzed
157 offline using edge detection software (Cardiovascular Suite, Quippu, Italy).

158

159 ***Central Blood Pressure and Arterial Hemodynamics***

160 Central aortic blood pressures were derived from brachial artery waveforms acquired with
161 oscillometry and the use of the generalized transfer function (SphygmoCor XCEL, Atcor
162 Medical, Australia). Carotid to femoral pulse wave velocity was assessed by the simultaneous
163 acquisition of the carotid pulse via applanation tonometry and the femoral pulse via
164 oscillometry (SphygmoCor XCEL, Atcor Medical, Australia).

165

166 ***Microvascular Function***

167 The skin blood flow response to local heating was coupled with intradermal microdialysis to
168 assess microvascular function. Following 10 minutes of analgesic icing on the ventral forearm,
169 a 23-gauge needle was used as a canula guide to place two subcutis microdialysis fibers

170 (CMA Microdialysis AB, Sweden) for the local delivery of pharmacological substances to the
171 cutaneous circulation. Multifiber laser probes fixed in small local heaters were situated above
172 each microdialysis membrane (Temperature Monitor SHO₂, Moor Instruments) for the
173 measurement of skin blood flow, represented by red blood cell flux, at each site. Following
174 microdialysis fiber insertion, Ringer's solution was infused through both fibers at 2ul/min for 60
175 – 90 minutes (Bee Hive controller, Baby Bee microinfusion pumps, Bioanalytical Systems) to
176 allow for the resolution of any insertion related hyperemia. Blood pressure was recorded on the
177 contralateral arm every 15 minutes throughout the experimental procedure.

178

179 A standardized local heating protocol was performed to experimentally assess microvascular
180 function(22, 28, 29). Microdialysis sites were randomly allocated receive an 2ul/min infusion of
181 either Ringer's solution (Control) or 100uM Apocynin (NADPH Oxidase inhibitor; Sigma
182 Aldrich) throughout the duration of the heating protocol. Local heaters were set at 33°C to
183 collect baseline red blood cell flux for ~30 minutes. Once a stable baseline was recorded,
184 temperature was increased rapidly (1°C/s) to 42°C and held for the duration of the protocol.
185 The biphasic blood flow response to local heating is comprised of a rapid axon mediated initial
186 peak, followed by a slower, steady increase to a plateau that has been reported to be
187 predominantly mediated by nitric oxide(30). Following a stable plateau, maximum vasodilation
188 at each site was obtained through the simultaneous infusion of 28mM sodium nitroprusside
189 (SNP; U.S. Pharmacopeia) at 4uL/min and an increase in temperature to 43°C. Microvascular
190 function was reported as cutaneous vascular conductance (CVC) calculated as red blood cell
191 flux /mean arterial pressure. Baseline and plateau values were averaged over a 10minute
192 period and the initial peak was average over one minute. To normalize data between sites,
193 CVC was reported as a percentage of the maximum CVC (CVC_{max}) at each site.

194

195 **Exercise Capacity**

196 A symptom-limited, maximal effort graded cardiopulmonary exercise test (CPET) was
197 performed on an upright electromagnetic braked cycle ergometer (Corival, Lode, The
198 Netherlands). Cycling commenced at 25 Watts (W) and was increased by 25W every three
199 minutes, maintaining a cadence above 60rpm until volitional fatigue. Breath-by-breath gas
200 analysis averaged over 10s intervals was acquired with an automated gas analyzer (TrueOne
201 2400, Parvo Medics). Twelve lead ECG (Case, GE), rating of perceived exertion and blood
202 pressures (Tango M2, SunTech, NC) were recorded at rest, throughout the exercise protocol
203 and during recovery. CPET derived variables including minute ventilation/oxygen and carbon
204 dioxide production slopes (V_E/V_{O_2} , V_E/V_{CO_2} , respectively) oxygen uptake efficiency slope
205 (OUES) and O_2 pulse were calculated as previously described (12).

206

207 **Plasma MitoQ and Oxidative Stress Analyses**

208 EDTA-treated plasma quantification of MitoQ at follow up was performed by the Virginia
209 Commonwealth University Metabolomics Core. Measures were made by liquid
210 chromatography/mass spectrometry with the use of deuterated MitoQ as an internal standard.
211 EDTA-treated plasma samples were also analyzed for F2-isoprostanes and isofurans with
212 negative ion gas chromatography mass spectroscopy (Agilent 9690n Network GC; Agilent
213 Laboratories, Torrance, CA) by the Vanderbilt University Eicosanoid Core Laboratory (31).

214

215 **Adverse Events**

216 Information on adverse events was collected as and when they occurred and documented
217 during the trial period only.

218

219 **Statistical Analyses**

220 The purpose of this study was to identify the physiological changes associated with the chronic
221 suppression of mtROS with MitoQ rather than the efficacy of MitoQ as a therapeutic treatment
222 in CKD. Therefore, *per protocol* rather than intention to treat analyses were performed.

223 Statistical analyses were performed using SPSS (v27, IBM). Shapiro-Wilk's test of normality
224 was used to confirm normal distribution of the data. Parametric tests were performed if all
225 assumptions were met. Differences in participant characteristics between groups at baseline
226 were assessed with the use of Student's independent samples *t*-tests and Fisher's Exact tests.
227 Intervention compliance rates and plasma MitoQ levels at follow up were compared with
228 Student's independent samples *t*-tests. Changes over time in outcome measures were
229 compared between groups with a two-way repeated measures ANOVA. Following a significant
230 omnibus test, post hoc independent and paired samples *t*-tests were performed. For
231 microdialysis measures, change scores in CVC in the Ringer's control site were compared
232 between groups with independent samples *t*-tests. Within-subject comparisons between drug
233 sites were compared with paired samples *t*-tests. Descriptive data pertaining to the study
234 population presented as mean (range). All other data are presented as mean \pm standard error
235 of the mean (SEM). Statistical significance was set at $p \leq 0.05$. Cohens effect sizes (d) were
236 calculated for main outcome measures. A post hoc power analysis (G-power) indicated a
237 power of 99% for the primary outcome of conduit artery endothelial function.

238

239 **RESULTS**

240 ***Participants***

241 Participant flow through the study is reported in **Figure 1**. There were no differences between
242 groups in participant characteristics at baseline (**Table 1**). Biochemistry and hematology
243 values were within clinically recommended ranges.

244

245 ***Intervention Compliance, Tolerability and Safety***

246 Participants were highly compliant with both MitoQ and Placebo interventions with no
247 significant differences between groups (MTQ vs. PLB, $99.60 \pm 0.40\%$ vs. $97.76 \pm 2.24\%$;
248 $p=0.12$). Circulating serum MitoQ levels at follow up were significantly higher in MTQ
249 compared to PLB (30 ± 7 pmol/mL vs. 0 ± 0 pmol/mL; $p=0.001$). MTQ administration was well
250 tolerated with no expected or serious adverse events reported. With regards to renal safety,
251 there was no significant change in kidney function (estimated glomerular filtration rate, eGFR)
252 after either intervention (baseline vs. follow up: MTQ, 51 ± 4 vs. 57 ± 5 ml/min/1.73m²; PLB, 45
253 ± 4 vs. 52 ± 7 ml/min/1.73m²; interaction $p = 0.68$).

254

255 ***Conduit Artery Endothelial Function***

256 There was no difference in baseline brachial artery diameter between groups across time
257 (baseline vs. follow up: MTQ, 4.99 ± 0.29 vs. 4.98 ± 0.29 mm; PLB, 5.16 ± 0.45 vs. 5.01 ± 0.49
258 mm; interaction $p = 0.50$). There was a significant group x time interaction for FMD (MTQ, 2.36
259 ± 0.31 vs. $3.99 \pm 0.88\%$; PLB, 4.23 ± 1.01 vs. $2.54 \pm 0.99\%$; interaction $p = 0.04$; $d=0.76$) with
260 post hoc analyses showing a significant increase in FMD following MTQ ($p = 0.04$; **Figure 2**).
261 There was no difference between groups across time in shear AUC (MTQ, 8991 ± 1871 vs.
262 11553 ± 2134 mm; PLB, 8387 ± 2274 vs. 8273 ± 1929 AU; interaction $p = 0.37$), confirming a
263 similar stimulus for vasodilation between groups across time.

264

265 ***Peripheral and Central Blood Pressure and Arterial Hemodynamics***

266 There were no significant changes between groups in peripheral systolic (baseline vs. follow
267 up: MTQ, 141 ± 5 vs. 137 ± 6 mmHg; PLB, 136 ± 4 vs. 134 ± 6 mmHg; $p = 0.70$; $d=0$) or
268 diastolic pressures (MTQ, 82 ± 3 vs. 81 ± 3 mmHg; PLB, 81 ± 3 vs. 78 ± 4 mmHg; $p = 0.58$;
269 $d=-0.06$). As shown in **Figure 3A & B**, there were no differences between groups across time
270 in central systolic (MTQ, 128 ± 5 vs. 123 ± 6 mmHg; PLB, 124 ± 3 vs. 123 ± 5 mmHg;
271 interaction $p = 0.44$; $d=0.1$) or pulse pressures (MTQ, 44 ± 4 vs. 41 ± 4 mmHg; PLB, 44 ± 4 vs.
272 45 ± 4 mmHg; interaction $p = 0.11$; $d=0.1$). There was a significant group x time interaction for
273 augmentation pressure (MTQ, 11 ± 2 vs. 8 ± 2 mmHg; PLB 11 ± 2 vs. 14 ± 2 mmHg; $p =$
274 0.026 ; $d=0.4$; **Figure 3C**) and augmentation index (MTQ, 23 ± 4 vs. 19 ± 3 %; PLB, 25 ± 4 vs.
275 29 ± 4 %; $d=0.4$; $p = 0.050$; **Figure 3D**). Follow up tests showed significant increases from
276 baseline to follow up in augmentation pressure ($p = 0.006$; **Figure 3C**) and augmentation index
277 ($p = 0.04$; **Figure 3D**) in PLB. There was no difference between groups across time in heart
278 rate (baseline vs. follow up: MTQ 65 ± 4 vs. 64 ± 4 bpm; PLB 63 ± 5 vs. 67 ± 6 bpm; interaction
279 $p = 0.07$). There was a significant interaction ($p=0.037$) and main effect for time ($p=0.008$) for
280 cfPWV. Follow up tests showed significant reductions in cfPWV in the PLB group (baseline vs.
281 follow up: MitoQ, 9.30 ± 0.83 vs. 9.11 ± 0.67 m/s; $p = 0.25$; PLB, 10.49 ± 0.93 vs. 9.16 ± 0.95
282 m/s; $p = 0.01$).

283

284 ***Microvascular Function***

285 ***Ringers' Control Site.*** Two subjects from the PLB group were excluded from the analysis
286 (drug contamination to a Ringers site, $n=1$; refused consent for microdialysis procedure, $n=1$).
287 There was no difference in the change score between groups in baseline (baseline vs. follow

288 up: MTQ 14 ± 3 vs. 10 ± 2 %; PLB 8 ± 2 vs. 12 ± 3 %; $p = 0.063$), initial peak (MTQ 69 ± 4 vs.
289 66 ± 2 %; PLB 68 ± 7 vs. 50 ± 11 %; $p = 0.14$) or maximal CVC (MTQ 1.12 ± 0.21 vs. $1.32 \pm$
290 0.29 AU; PLB 0.96 ± 0.22 vs. 1.53 ± 0.22 AU; $p = 0.46$). Compared to PLB, there was a
291 nominal increase and with a trend for statistical significance ($p = 0.053$; $d = 0.7$) on the plateau
292 CVC response to local heating in the MTQ group, signifying improved microvascular function
293 following MitoQ supplementation (**Table 2; Figure 4A**).

294 ***Ringer's vs Apocynin.*** Due to a small data sample in the PLB group, we have only
295 investigated the effects of Apocynin infusion before and after the intervention in the MTQ group
296 to provide preliminary insights into mechanistic changes associated with the administration of a
297 mitochondrial targeted antioxidant. One subject was excluded from the MTQ group at baseline
298 due to drug contamination at the Apocynin site. In the MTQ group, Apocynin delivery
299 significantly improved microvascular function at baseline, however, after 4 weeks of MTQ,
300 apocynin was no longer effective at improving microvascular function (**Figure 4B**) suggesting
301 that MitoQ supplementation may reduce NADPH oxidase contributions to CKD-related
302 microvascular dysfunction. There was no difference in the CVC_{max} between sites at baseline
303 (Ringers vs. Apocynin: 1.12 ± 0.21 vs. 1.39 ± 0.33 AU; $p = 0.49$) or follow up (Ringers vs.
304 Apocynin: 1.32 ± 0.29 vs. 1.19 ± 0.23 ; $p = 0.73$) confirming that differences between sites were
305 not due to differences in maximal dilatory capacity.

306

307 ***Exercise Capacity***

308 One subject from the MitoQ group (equipment/technical error at follow up test) and one subject
309 from the Placebo group (contraindication to exercise testing at follow up) were excluded from
310 the analyses. MitoQ had no effect on maximal exercise capacity reported as VO_{2peak} (baseline
311 vs. follow up: MTQ 17.95 ± 2.91 vs. 17.34 ± 2.89 ml/kg/min; PLB 19.07 ± 2.18 vs. 17.27 ± 2.19

312 ml/kg/min; interaction $p = 0.27$; $d=0.08$) or total exercise time (MTQ, 726 ± 83 vs. 691 ± 90 s;
313 PLB, 844 ± 153 vs. 780 ± 145 s; interaction $p = 0.66$; $d=0.05$). There were no differences
314 between groups in CPET markers of peripheral oxygen extraction and efficiency: OUES (MTQ,
315 1.53 ± 0.13 vs. 1.61 ± 0.04 AU; PLB, 1.69 ± 0.21 vs. 1.60 ± 0.21 AU; interaction $p = 0.16$), and
316 V_E/VO_2 (MTQ, 43 ± 2 AU vs. 43 ± 3 AU; PLB, 47 ± 4 vs. 44 ± 5 AU; interaction $p = 0.20$). There
317 was a significant group x time interaction in the CPET indicator of ventilation-perfusion
318 matching, V_E/VCO_2 ($p = 0.007$), with post hoc analyses showing a significant increase in the
319 V_E/VCO_2 slope from baseline to follow up in MTQ (34 ± 1 AU vs. 35 ± 1 AU, $p = 0.04$) and a
320 decrease in PLB (37 ± 2 vs. 34 ± 3 AU; $p = 0.02$). There was no significant change in the
321 pressor response to exercise between groups or across time points (peak SBP: MTQ, 192 ± 12
322 vs. 186 ± 13 mmHg; PLB, 198 ± 14 vs. 192 ± 15 mmHg; interaction $p=0.69$).

323

324 ***Plasma Biomarkers of Oxidative Stress***

325 There was no significant change in the ratio of F2-isoprostanes to isofurans (baseline vs.
326 follow up: MTQ, 1.60 ± 0.21 vs. 1.78 ± 0.23 AU; PLB, 1.37 ± 0.22 vs. 1.68 ± 0.25 AU;
327 interaction $p=0.70$).

328

329 **DISCUSSION**

330 The findings of our pilot trial show that a 4-week supplementation of a mitochondrial targeted
331 ubiquinol (MitoQ) was safe, well tolerated and improved macrovascular endothelial function,
332 arterial hemodynamics, and microvascular function in patients with Stage 3-4 CKD without
333 overt CVD. However, MitoQ supplementation had no effect on cardiorespiratory fitness in this
334 patient cohort.

335

336 Our pilot findings in CKD show that MitoQ had a beneficial effect on macrovascular endothelial
337 function. These findings support previous investigations demonstrating significant
338 improvements in FMD in older adults following six weeks of MitoQ supplementation of the
339 same dose (20mg) (25). In addition, acute improvements in FMD have been observed
340 following a single higher dose of MitoQ (80-160mg) in older adults and patients with peripheral
341 artery disease(25, 32). The improvements in endothelial function with MitoQ supplementation
342 are also supported by preclinical models of aging, hypertension and chemotherapy associated
343 endothelial dysfunction that have all reported restored vascular function following chronic
344 MitoQ administration(33-35). As endothelial dysfunction is recognized as the initial
345 pathophysiological event in the development of atherosclerosis, our pilot findings may have
346 significant implications for future clinical trials utilizing mitochondrial targeted interventions for
347 the primordial prevention of CVD in the earlier stages of CKD.

348

349 Although MitoQ had no effect on peripheral or central blood pressure in this pilot study, we
350 demonstrated that MitoQ supplementation prevented increases in augmentation pressures and
351 the augmentation index that were observed over time in the placebo group. The augmentation
352 index is a surrogate measure of left ventricular afterload and is influenced by arterial stiffness.
353 Therefore, our findings are clinically noteworthy as aberrant arterial hemodynamics are
354 implicated in kidney disease progression by increasing end organ pulsatility (36), in addition to
355 the pathogenesis of heart failure by increasing left ventricular late systolic pulsatile load(37).
356 We cannot be certain about the exact functional parameters that MitoQ altered to improve
357 arterial hemodynamics in this study. Chronic supplementation of MitoQ has previously been
358 shown to reduce arterial stiffness in translational studies of aging(34, 38). However, arterial

359 stiffening in CKD is more difficult to reverse and is unlikely over a duration of 4 weeks(28). It is
360 possible that MitoQ mediated improvements in arterial hemodynamics are due to beneficial
361 changes in cardiac function and/or peripheral resistance, however, this warrants investigation
362 in future trials.

363

364 Microvascular function, assessed by cutaneous vascular conductance, showed a trend for
365 improvement (with a large effect size) following MitoQ. This evidence builds on previous
366 findings in Stage 3- 4 CKD reporting augmented microvascular function following an acute,
367 local intradermal delivery of the mitochondrial targeted antioxidant, MitoTempo(22). Our
368 preliminary findings showed that Apocynin, a NADPH oxidase inhibitor, was no longer effective
369 at augmenting microvascular function after 4 weeks of MitoQ. This suggests that MitoQ altered
370 microvascular function in part by reducing the NADPH oxidase contribution to vascular
371 dysfunction. This is supported by multiple studies that demonstrate crosstalk between mtROS
372 and NOX in the vasculature whereby mtROS stimulate the production of NADPH oxidase
373 derived ROS and visa-versa(39). Previous studies have also implicated NADPH oxidase
374 derived ROS as mediators of CKD-related microvascular function in Stage 3-4(3). As Apocynin
375 is a non-specific inhibitor of NADPH oxidase, we cannot determine whether the benefits of
376 MitoQ are mediated through one specific NOX isoform (NOX2 or NOX4). It is also possible that
377 MitoQ altered vascular function by improving overall mitochondrial health and integrity. This
378 could result in subsequent reductions in excessive electron leak; improvements in
379 mitochondrial membrane potential and integrity; augmented mitochondrial quality control; and
380 improved regulation of mitochondrial calcium balance, all of which have been shown to play an
381 important homeostatic role in vascular health and function(39). The effects of MitoQ on these
382 mechanisms could be investigated in future CKD studies.

383

384 Contrary to our hypothesis, MitoQ had no effect on cardiorespiratory fitness. Studies that have
385 utilized MitoQ to improve exercise capacity have shown varied results. In healthy, athletic
386 males, a recent trial showing improvements in cycling time trial performance following 6
387 months of MitoQ supplementation(40) is countered by an earlier trial reporting no benefit of
388 MitoQ in skeletal muscle adaptations or cardiorespiratory fitness following 3 weeks of
389 supplementation (41). The difference in findings could potentially be explained by the duration
390 of the intervention. Future trials could investigate the effects of mitochondrial targeted
391 therapies on skeletal muscle factors that increase oxygen utilization. In addition, studies should
392 investigate if the improvements that we observed in resting vascular function translate to
393 improved oxygen delivery to the working muscle during exercise. Future studies should also
394 ascertain if MitoQ supplementation of longer duration is efficacious at improving submaximal
395 exercise capacity that relates to the intensity of activities of daily living and thus portents to
396 translational improvements in quality of life. We have previously demonstrated that vascular
397 adaptations to exercise training are attenuated in patients with CKD(28, 42). Therefore, it
398 would be interesting to investigate if MitoQ supplementation combined with exercise training
399 could augment training adaptations in this patient cohort.

400

401 There are several limitations to this study. First, although circulating levels of MitoQ were
402 evident in the treatment group, this study is lacking in a direct assessment of mtROS and we
403 therefore cannot directly conclude that the observed benefits were due to a reduction in
404 mitochondrial derived oxidative stress. Due to the rapid half-life of free radicals, accurate
405 measurements of generic ROS production *in vivo* in human subjects is notoriously difficult and
406 is further complicated when attempting to define the specific source of ROS. Future studies

407 employing *ex-vivo* mechanistic methodologies could potentially provide a better understanding
408 of the underlying physiological mechanisms of the observed benefits. Our microvascular data
409 is limited by a small sample size in the placebo group. However, the results reported in the
410 MTQ group alone are important and offer some valuable and interesting mechanistic insights
411 into the observed improvements in microvascular function. Although this study included both
412 men and women, we were insufficiently powered to address potential sex differences in
413 responses to MitoQ. We have recently reported that older women with Stage 3-4 CKD have
414 impaired microvascular function and arterial hemodynamics compared with their male
415 counterparts(43). In addition, preclinical studies have shown mitochondrial protective effects of
416 estrogen and excessive mtROS production is postulated to contribute to post-menopausal
417 declines in vascular function(25, 39, 44). Unfortunately, we did not document menopause
418 status, however, all female participants were >60years of age. The findings of this study can
419 be leveraged by future studies to examine if there is a sex dependent response to MitoQ. In
420 this study, we did not include healthy control comparison groups. Although similar findings
421 have been reported in studies of healthy aging, we have no direct comparison to a healthy
422 control response in this study. Finally, we did not have access to etiology of CKD, therefore we
423 are unable to determine if the response to MitoQ differed between kidney diseases.

424

425 In summary, our pilot study demonstrates the potential for a mitochondrial targeted ubiquinol to
426 improve vascular function in patients with Stages 3- 4 CKD. These benefits were observed
427 prior to the development of overt CVD and therefore, the findings hold promise for larger,
428 future clinical trials that aim to utilize mitochondrial targeted strategies for the primordial
429 prevention of CKD related CVD. The study did not demonstrate an effect of 4-week MitoQ
430 supplementation on cardiorespiratory fitness. Future trials should investigate if longer

431 treatment durations have effects on functional capacity or submaximal activities that are more
432 reflective of activities of daily living.

433

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440 **CONFLICT OF INTEREST**

441
442 None to declare

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583

584

585 **Figure 1.** Participant flow through study

586 CPET, cardiopulmonary exercise testing

587

588 **Figure 2.** Compared with Placebo, 4 weeks of MitoQ supplementation significantly improved
589 flow mediated dilation, a *in vivo* assessment of conduit artery endothelial function, in patients
590 with Stage 3- 4 CKD.

591 MTQ, MitoQ; PLB, Placebo; Data are mean \pm SEM; *, $p < 0.05$

592

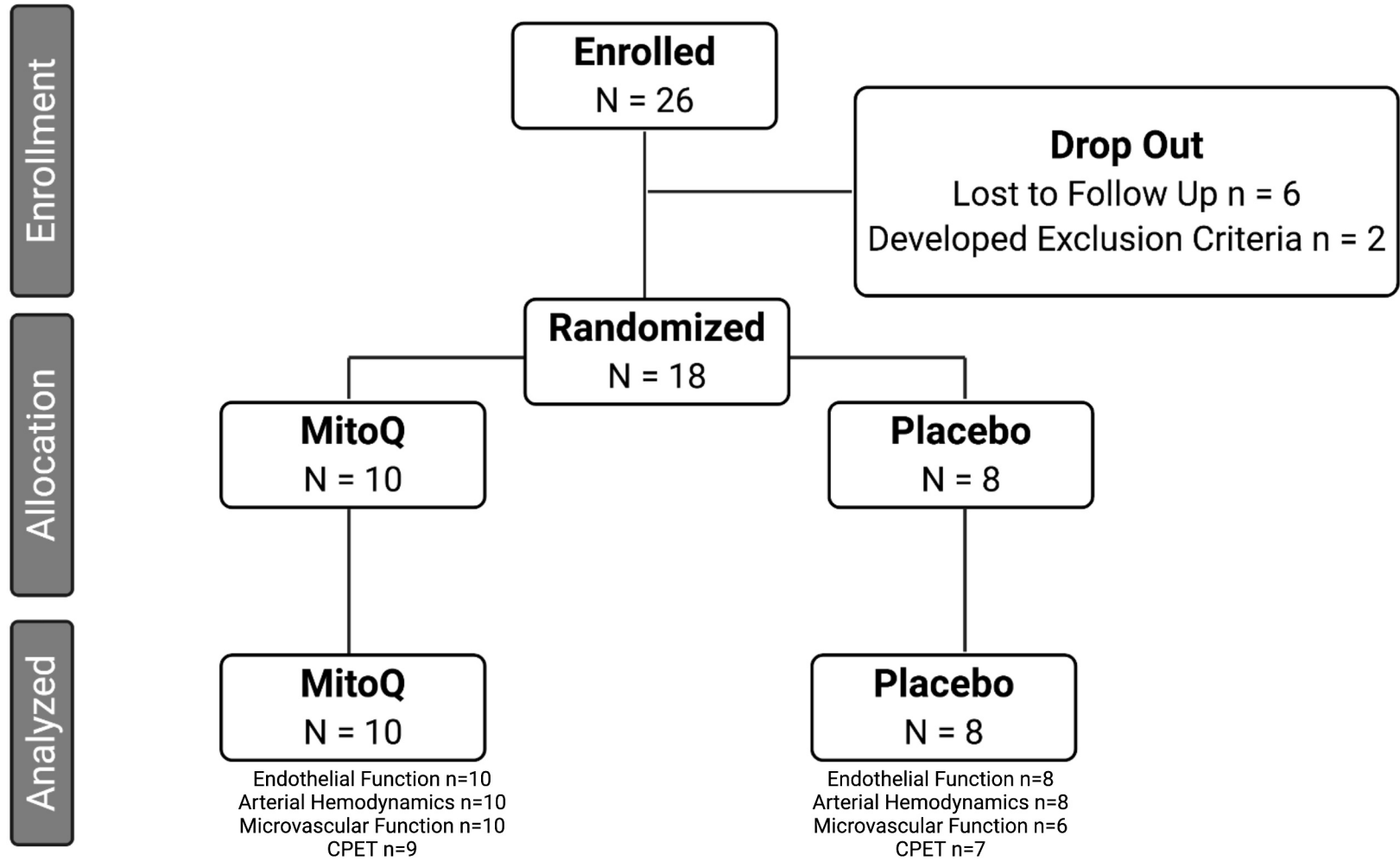
593 **Figure 3.** There we no significant changes between placebo and treatment groups in central
594 systolic pressure (A) and central pulse pressure (B). MitoQ supplementation mitigated a
595 significant increase in augmentation pressure (C) and augmentation index (D) that was
596 observed in the Placebo group.

597 MTQ, MitoQ; PLB, Placebo; Data are mean \pm SEM; *, $p < 0.05$.

598

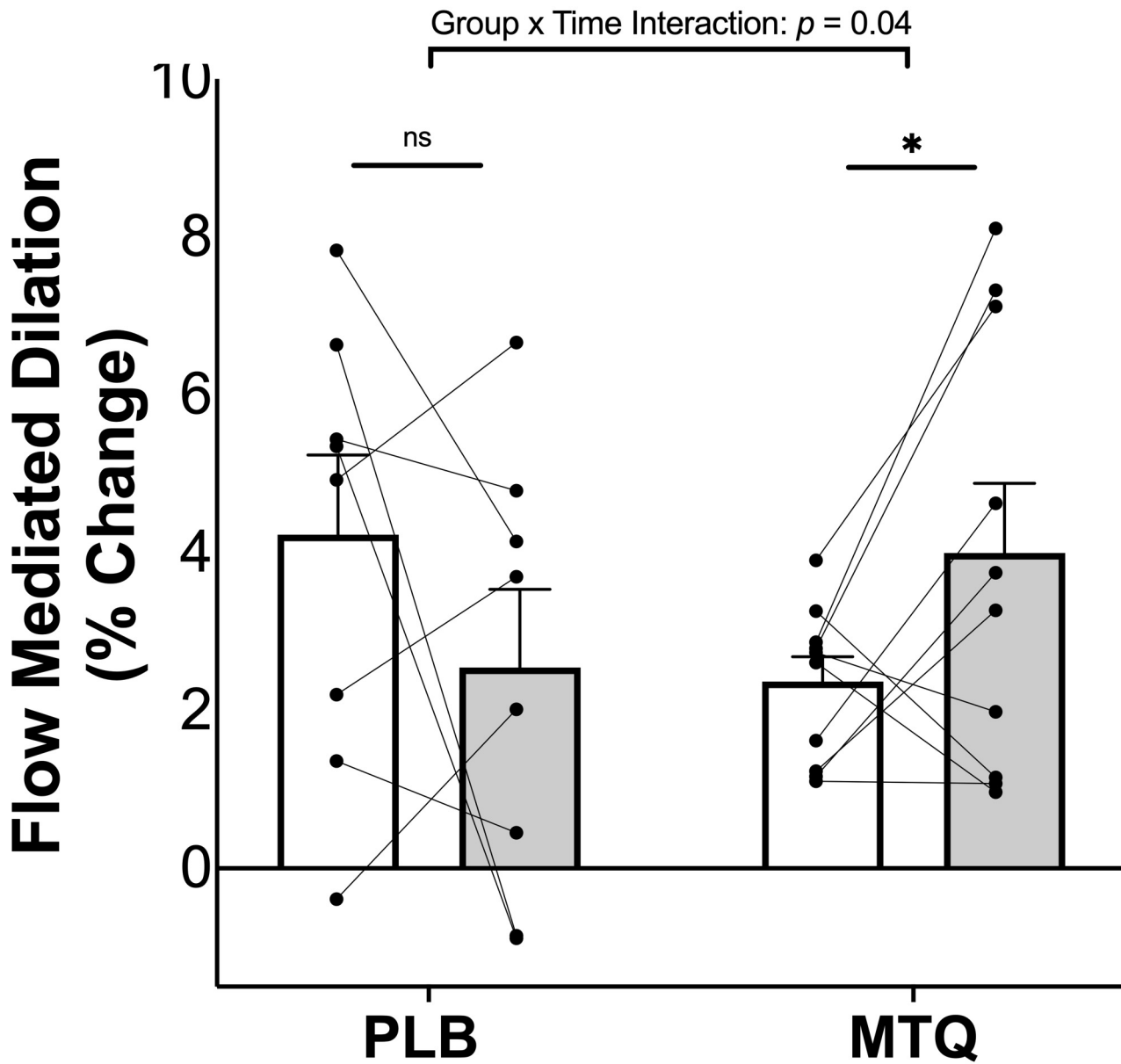
599 **Figure 4.** Four weeks of MitoQ supplementation improved microvascular function, assessed
600 by the cutaneous vasodilatory response to local heating at the Ringer's control site, compared
601 with placebo (A). In patients that received MitoQ, panel (B) shows that at baseline, the local
602 delivery of Apocynin significantly augmented microvascular function, reproducing previous
603 findings that NADPH oxidases contributes to CKD related microvascular dysfunction(3).
604 However, at follow up, Apocynin was no longer effective at increasing microvascular function,
605 suggesting that the beneficial effects of MitoQ on microvascular function may partly be
606 mediated by a reduction in the detrimental effects of NADPH oxidases.

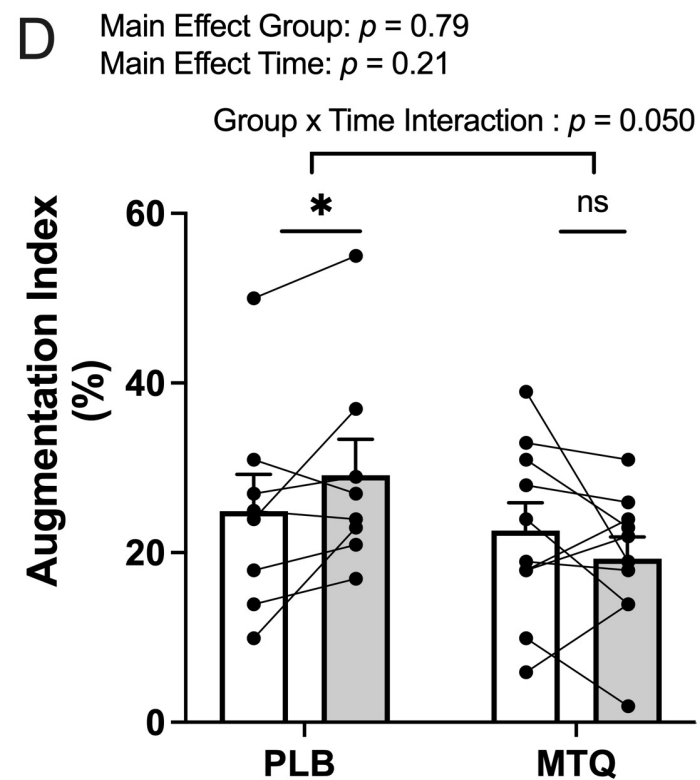
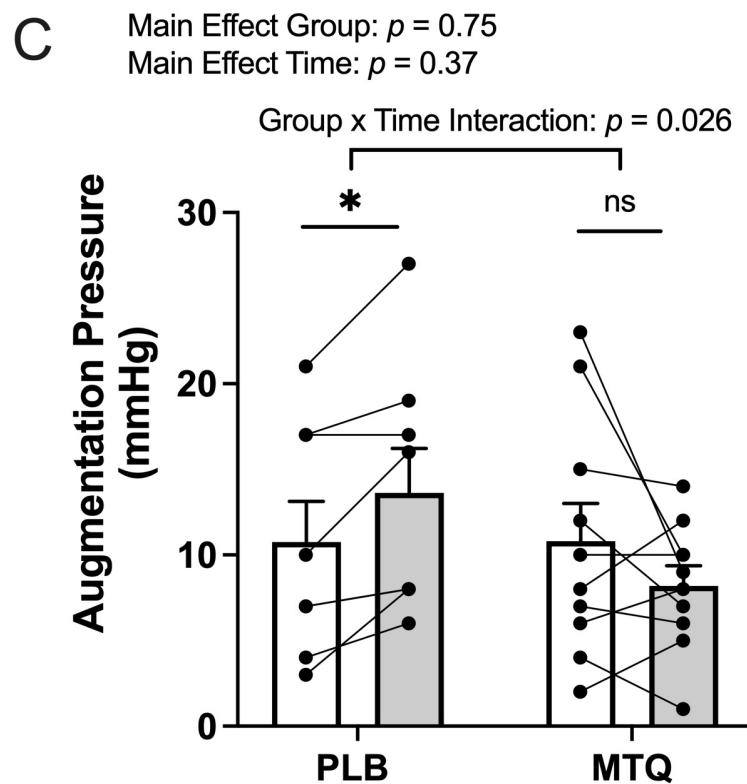
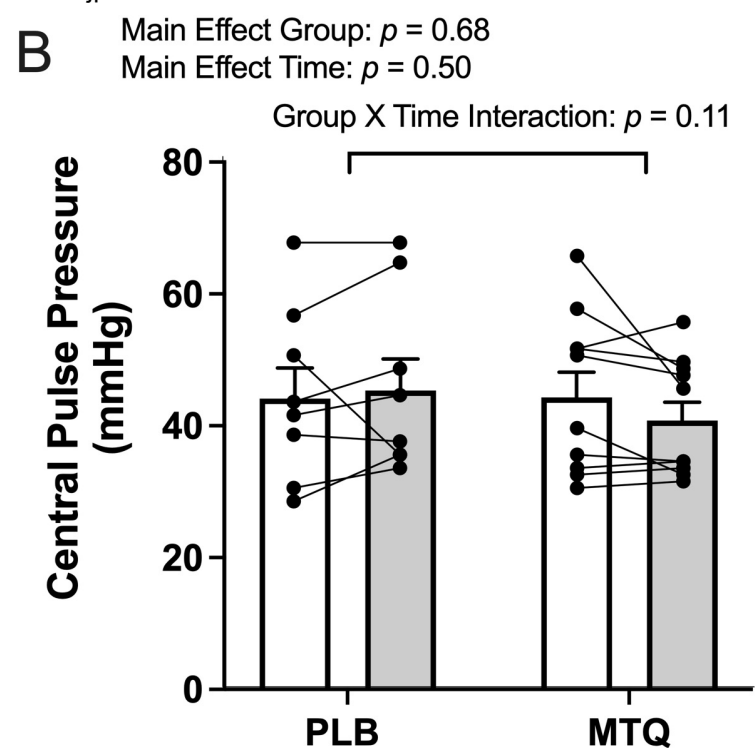
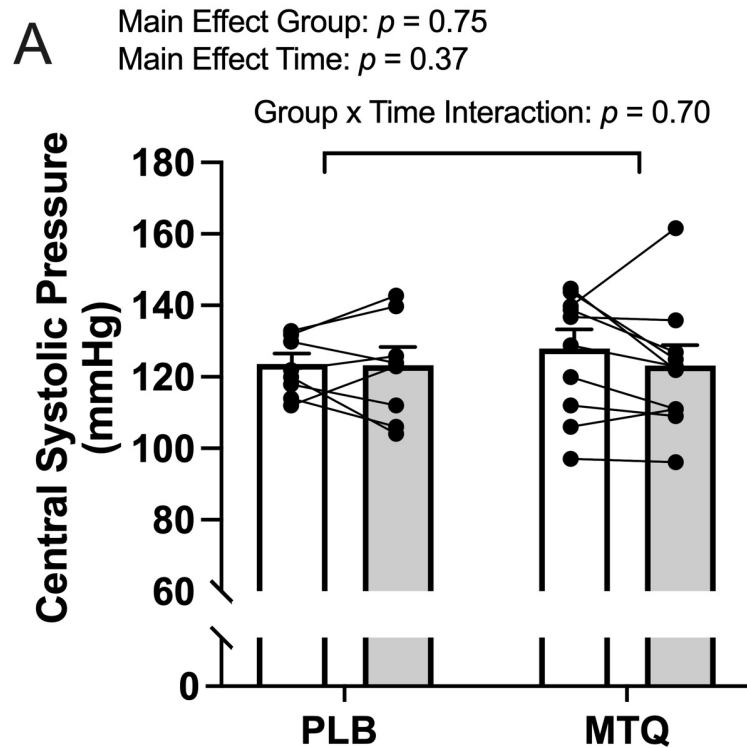
607 MTQ, MitoQ; PLB, Placebo; Data are mean \pm SEM; *, $p < 0.05$ compared to Baseline Ringer's



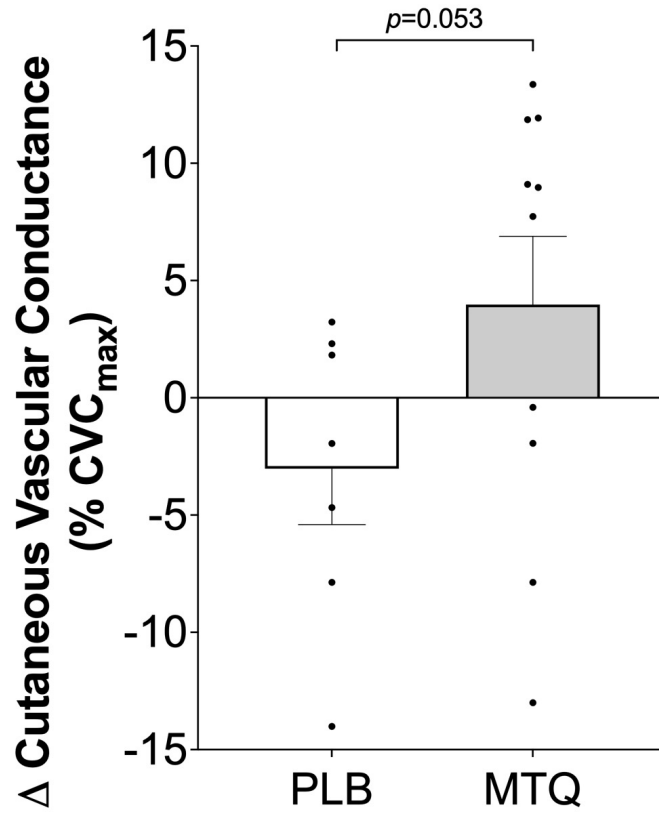
Main Effect Group: $p = 0.81$
Main Effect Time: $p = 0.97$

□ Baseline
■ Follow Up





A



B

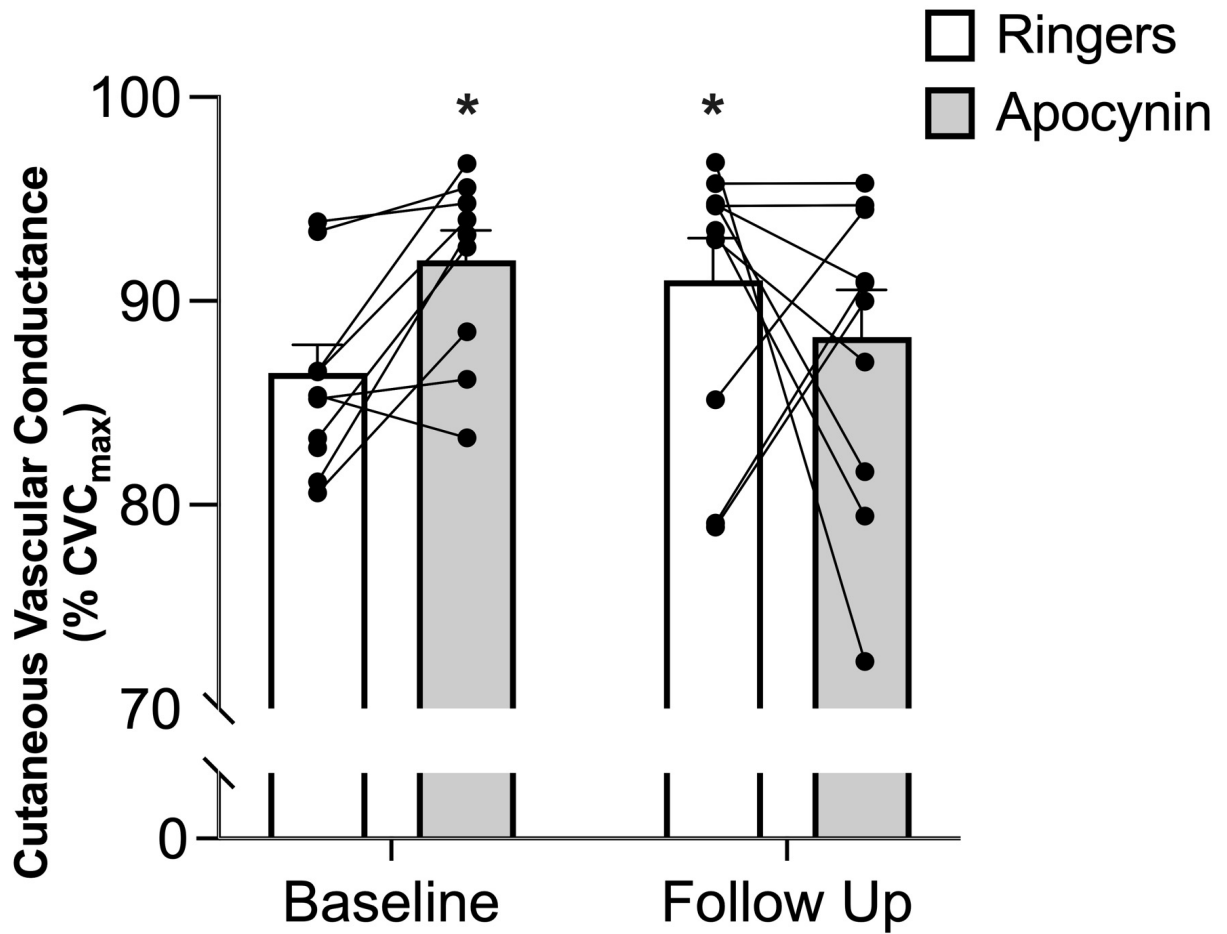


Table 1. Participant characteristics.

	Placebo	MitoQ	<i>p</i>
Demographics			
n	8	10	
Age (yr)	64 (33-78)	61 (32-75)	0.68
Sex (n)			0.39
Female	2	1	
Male	6	9	
Ethnicity (n)			0.28
African American/Black	3	1	
Caucasian/white	5	9	
Body Mass Index (kg/m ²)	32 (25 -45)	31 (19-43)	0.70
Hemodynamics			
Systolic Blood Pressure (mmHg)	131(119-148)	127(109-148)	0.52
Diastolic Blood Pressure (mmHg)	81(62-90)	77 (61-86)	0.51
Mean Arterial Pressure (mmHg)	97(86-109)	95(78-104)	0.48
Hematology and Biochemistry			
eGFR (ml/min/1.73m ²)	45(30-58)	51(25-67)	0.85
Hemoglobin A1c (%)	6.1(5.1-7.5)	6.0(5.2-7.3)	0.89
Total Cholesterol (mg/dL)	183(109-272)	184(135-271)	0.97
High-Density Lipoprotein (mg/dL)	46(30-60)	48(35-76)	0.74
Low-Density Lipoprotein (mg/dL)	108(54-175)	109(65-178)	0.94
Medication (n)			
Beta-Blockers	1	2	0.58
ACE-I	2	1	0.41
ARB	2	1	0.55
Calcium Channel Blocker	0	4	0.06
Diuretic	2	2	0.61
Glucose Lowering Agent	2	2	0.61
Statin	3	3	0.60

ACE-I, angiotensin converting enzyme inhibitor; ARB, angiotensin II receptor blocker; eGFR, estimated glomerular filtration rate. Values are mean (Range).

Table 2. Microvascular plateau response to local heating at the Ringer's site. The change in cutaneous vascular conductance from baseline to follow up was different between Placebo and MitoQ groups.

	Baseline	Follow Up	Δ CVC
Placebo	87.08 \pm 3.58	84.86 \pm 3.85	-2.22 \pm 2.67
MitoQ	86.47 \pm 1.38	91.98 \pm 1.46	4.50 \pm 2.57 *

CVC, cutaneous vascular conductance reported as %_{max}; Data are mean \pm SEM

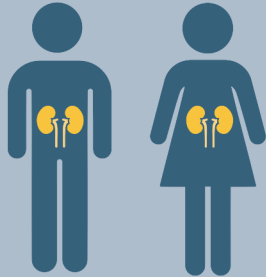
*, $p = 0.053$ between groups

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Effects of a Mitochondrial Targeted Ubiquinol (MitoQ) on Vascular Function and Exercise Capacity in Chronic Kidney Disease: A Randomized Controlled Pilot Study

METHODS

18 men and women with non-dialysis CKD



Randomized



Mito Q
20mg/day
4 weeks

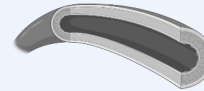
Placebo
1 capsule/day
4 weeks

OUTCOME MEASURES

Assessed at Baseline & 4 Weeks

OUTCOME

Improved conduit artery endothelial function



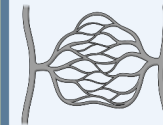
Maintained arterial hemodynamics, namely augmentation pressure



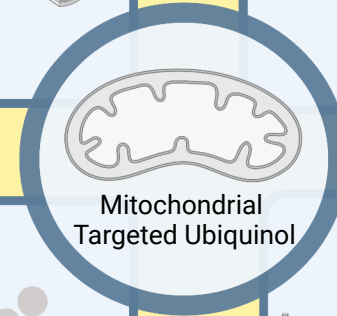
Did not affect exercise maximal exercise capacity



Improved microvascular function, potentially through a NADPH oxidase related mechanism



Mitochondrial Targeted Ubiquinol



CONCLUSIONS

- 4 weeks of MitoQ supplementation was safe and well tolerated in patients with non-dialysis chronic kidney disease
- This pilot study demonstrates the potential for mitochondrial targeted strategies to improve vascular function.
- MitoQ had no effect on exercise capacity.